| FORM PTO-1390  | U.S. DEPARTMENT OF COMMERCE   | PATENT AND TRADEMARK OFFICE                              | DATE:                                  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|--|--|
| (REV 12-97)  |   |  | September 27, 2001                     |  |  |  |  |  |  |  |  |
| TD   | ANSMITTAL LETTER TO   | ATTORNEY'S DOCKET NUMBER                                 |  |  |  |  |  |  |  |  |  |
|  |   | 54676US002   |  |  |  |  |  |  |  |  |  |
|  | DESIGNATED/ELECTED O  | U.S. APPLICATION NO. 37587 (if known, set 9Fk. 19) 37587 |  |  |  |  |  |  |  |  |  |
|  | CONCERNING A FILING U   |  |  |  |  |  |  |  |  |  |  |
|  | TIONAL APPLICATION NO.  | PRIORITY DATE CLAIMED                                    |  |  |  |  |  |  |  |  |  |
| PCT/US99/  |   |  |  |  |  |  |  |  |  |  |  |
| TITLE OF INVENTION   |   |  |  |  |  |  |  |  |  |  |  |
| ADHESION-ENHANCING SURFACES FOR MARKING MATERIALS  |   |  |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  |   |  |  |  |  |  |  |  |  |  |  |
| Sundar J. Rajan, Roberta E. Harelstad, Joey L. Reule   |   |  |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other |   |  |  |  |  |  |  |  |  |  |  |
| information:   |   |  |  |  |  |  |  |  |  |  |  |
| 1.   | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |  |  |
| 2.   | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |  |  |
| 3.   | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay  |  |  |  |  |  |  |  |  |  |  |
| 4.   | examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).  A proper Demand for International Preliminary Examination was made by the 19 <sup>th</sup> month from the earliest claimed |  |  |  |  |  |  |  |  |  |  |
| 4.   |   | Plenninary Examination was made by                       | the 19 month from the earliest claimed |  |  |  |  |  |  |  |  |
| 5.   | priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |  |  |  |  |  |  |  |  |  |
| <i>5</i> . 🖂   |   |  | national Bureau)                       |  |  |  |  |  |  |  |  |
| 2000   | is transmitted herewith (required only if not transmitted by the International Bureau).  has been transmitted by the International Bureau.  |  |  |  |  |  |  |  |  |  |  |
|  | is not required, as the application was filed in the United States Receiving Office (RO/US)   |  |  |  |  |  |  |  |  |  |  |
|  | A translation of the International Application into English (35 U.S.C. 371(c)(2)).  |  |  |  |  |  |  |  |  |  |  |
| 7. 🗵   | Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |  |  |  |  |  |  |  |  |  |
|  | are transmitted herewith (required only if not transmitted by the International Bureau).  |  |  |  |  |  |  |  |  |  |  |
|  | have been transmitted by the International Bureau.  |  |  |  |  |  |  |  |  |  |  |
| 'U1  | have not been made; however, the time limit for making such amendments has NOT expired.   |  |  |  |  |  |  |  |  |  |  |
| Ũ  | have not been made and will not be made.  |  |  |  |  |  |  |  |  |  |  |
| 8. 🗌   | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |  |  |  |
| 9. 🔯   | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |  |  |  |  |  |  |  |  |  |  |
|  | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C.  |  |  |  |  |  |  |  |  |  |  |
| T.   | 371(c)(5)).   |  |  |  |  |  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |  |  |  |
|  | 16. below concern other document  |  |  |  |  |  |  |  |  |  |  |
| Items 11. to   | 16. below concern other document  | t(s) or information included:                            |  |  |  |  |  |  |  |  |  |
| ff. ⊠<br>f2. ⊠   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |  |  |  |  |  |
| <u>12.</u> 🖂   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is   |  |  |  |  |  |  |  |  |  |  |
| 12 57  | included.   |  |  |  |  |  |  |  |  |  |  |
| 13.  | A FIRST preliminary amendment.  |  |  |  |  |  |  |  |  |  |  |
|  | A SECOND OF SUBSECUENT  | aliminary amandmant                                      |  |  |  |  |  |  |  |  |  |
| 14.  | A SECOND or SUBSEQUENT pre<br>A substitute specification.   | emmary amendment.  |  |  |  |  |  |  |  |  |  |
| 15.  |   | or address letter  |  |  |  |  |  |  |  |  |  |
| _  | <ul><li>☐ A change of power of attorney and/or address letter.</li><li>☐ Other items or information:</li></ul>  |  |  |  |  |  |  |  |  |  |  |
| 10.  | Dueling of mornation:   |  |  |  |  |  |  |  |  |  |  |

| Certificate of Express Mailing   |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
| Under 37 CFR 1.10, I certify that this entry of a PCT application into US National Stage is being deposited with the United States |  |  |  |  |  |  |  |  |
| Postal Service "Express Mail Post Office to Addressee" service addressed to the Commissioner for Patents, Washington, DC 20231,    |  |  |  |  |  |  |  |  |
| on the date indicated below.   |  |  |  |  |  |  |  |  |
| Express Mail Mailing Label No.   | Signature of Person Mailing Application    |  |  |  |  |  |  |  |
| EL596983845US  | Umber 1 Julio 200                          |  |  |  |  |  |  |  |
| Date of Deposit  | Printed Name of Person Mailing Application |  |  |  |  |  |  |  |
| September 27, 2001   | Amber Nicholson                            |  |  |  |  |  |  |  |

| U.S. APPLICATION 30-3 if lingwing see 37 INTERNATIONAL APPLICATION NO.:PCT/US99/06918   |   |                       |             |                  | ATTORNEY'S DOCKET NUMBER<br>54676US002 |         |  |  |  |  |
|---|---|-----------------------|-------------|------------------|--|---------|--|--|--|--|
| 17.  The follow   | Calculations PTO Use Only                       |                       |             |                  |  |         |  |  |  |  |
| Basic National Fee (37  | Carculations                                    | 1 10 Ost Only         |             |                  |  |         |  |  |  |  |
| Search Report ha International pre No international international sear  |   |                       |             |                  |  |         |  |  |  |  |
| Neither international sear  |   |                       |             |                  |  |         |  |  |  |  |
| International pre-  | 6 9/0   | <del></del>           |             |                  |  |         |  |  |  |  |
| ENTE  | \$ 860  |                       |             |                  |  |         |  |  |  |  |
| Surcharge of \$ 130 for from the earliest claimed   | \$  |                       |             |                  |  |         |  |  |  |  |
| Claims  | Number Filed                                    | Number Extra          | <u> </u>    | Rate             | <del>-</del> -                         | 1       |  |  |  |  |
| Total Claims Independent Claims   | 36 -20 =  | = 16                  | x \$        | 18               | \$ 288                                 |         |  |  |  |  |
| independent Claims  | 9 -3=   | = 6                   | x \$        | 80               | <b>\$</b> 480                          |         |  |  |  |  |
| MULTIPLE DEPENDE  |   |                       |             | 270              | \$                                     |         |  |  |  |  |
|   |   |                       |             |                  | <b>\$</b> 1628                         |         |  |  |  |  |
| Reduction by ½ for filin  |   | applicable. A Small I | Entity Stat | ement must       | \$                                     |         |  |  |  |  |
| also be filed. (Note 37 c   |   |                       | 61          | ID TOTAL         | e 1620                                 |         |  |  |  |  |
| Processing fee of \$ 130  |   |                       |             | B IUIAL =        | \$ 1628<br>\$                          |         |  |  |  |  |
| 20 30 months f  |   |                       |             |                  | <b>.</b>                               |         |  |  |  |  |
|   | ONAL FEE =                                      | <b>\$</b> 1628        |             |                  |  |         |  |  |  |  |
| Fee for recording the en  |   |                       |             |                  | \$                                     |         |  |  |  |  |
| accompanied by an ap  | propriate cover she                             | et (37 CFR 3.28, 3.3  | 31). \$40.0 | 0 per            |  |         |  |  |  |  |
| property  |   |                       |             |                  | 1.00                                   |         |  |  |  |  |
|   |   | TOTAL                 | _ FEES E    | NCLOSED =        | \$ 1628                                | ļ       |  |  |  |  |
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|   |   | 12.00                 |             |                  | charged                                | \$ 1628 |  |  |  |  |
| a   | he amount of \$                                 | to cover              | the above   | fees is enclosed |  |         |  |  |  |  |
| <ul> <li>a.  A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No. 13-3723 in the amount of \$ 1628.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul> |   |                       |             |                  |  |         |  |  |  |  |
| c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  |   |                       |             |                  |  |         |  |  |  |  |
| overpayment to Deposit Account No. 13-3723 A duplicate copy of this sheet is enclosed   |   |                       |             |                  |  |         |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.   |   |                       |             |                  |  |         |  |  |  |  |
|   |   |                       |             |                  |  |         |  |  |  |  |
| Send All Correspondence To: Signature ORUM FLACKER  |   |                       |             |                  |  |         |  |  |  |  |
| Name:   |   |                       |             |                  |  |         |  |  |  |  |
| Carolyn A. Fisch  |   |                       |             |                  |  |         |  |  |  |  |
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